



DEP & REF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

2007 MAY 11 11 05 AM

Keisei YAMAMURO et al.

Mail Stop:

ACCOUNTING DIVISION
REFUND BRANCH

Serial No. 09/821,049

Filed March 30, 2001

Attorney Docket No. 2001_0375A

RECEIVER, VIEWING INFORMATION RECORDING METHOD, VIEWING
INFORMATION PROCESSOR, SERVICE CONTENTS DECISION METHOD,
INFORMATION ACQUISITION APPARATUS, INFORMATION ACQUISITION METHOD,
TRANSMITTER/RECEIVER SYSTEM, AND RECORDING MEDIA

REQUEST FOR REFUND

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Applicants respectfully request a refund of \$180.00 charged on March 10, 2006 to Deposit Account No. 23-0975 for an Information Disclosure Statement (IDS). Applicants assert the charge is incorrect.

The IDS filed on March 1, 2006 (copy enclosed) was submitted after a non-final rejection and before the Final rejection of April 27, 2007 with a certification of Paragraph 2 and therefore, no fee was necessary. The fee incurred on March 10, 2006 was obviously the result of PTO oversight. We kindly request that it be credited to our account.

Kindly refund \$180.00 to the deposit account of undersigned, no. 23-0975. If there are any questions, please contact Donna Reynolds, Accounting Assistant, at (202) 721-8246.

Respectfully submitted,

Keisei YAMAMURO et al.

By

Jeffrey R. Filipek

Registration No. 41,471

Attorney for Applicant

JRF/

WENDEROTH, LIND & PONACK, L.L.P.

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Washington, D.C. 20006-1021

Facsimile (202) 721-8250

May 10, 2007

2001_0375A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 5127**
Keisei YAMAMURO et al. : Attorney Docket No. 2001_0375A
Serial No. 09/821,049 : Group Art Unit 2617
Filed March 30, 2001 : Examiner Jamieson W. Fish

RECEIVER, VIEWING INFORMATION
RECORDING METHOD, VIEWING
INFORMATION PROCESSOR, SERVICE
CONTENTS DECISION METHOD,
INFORMATION ACQUISITION APPARATUS,
INFORMATION ACQUISITION METHOD,
TRANSMITTER/RECEIVER SYSTEM,
AND RECORDING MEDIA

COPY

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No. _____.

1a. ☐ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☒ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☒ the certification of paragraph 2 below is provided, or

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☒ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☐ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office action citing one or more of the references is enclosed.
6. ☒ Statement Under 37 CFR 1.704(d)

Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Keisei YAMAMURO et al.

By no longer w/ our firm /replicated signature not available
Jonathan R. Bowser
Registration No. 54,574
Attorney for Applicants

JRB/jmj
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
March 1, 2006



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Confirmation No. 5127
Keisei YAMAMURO et al. : Attorney Docket No. 2001_0375A
Serial No. 09/821,049 : Group Art Unit 2617
Filed March 30, 2001 : Examiner Jamieson W. Fish

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THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Fee
Only

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

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- ☐ each reference previously cited in the international application PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No. _____.

1a. ☐ This Information Disclosure Statement is submitted:

Adjustment date: 05/23/2007 SFELEKE1
03/10/2006 PZIMMERM 00000002 230975 09821049
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United States Patent and Trademark Office
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